PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: SODROSKI, et al.

Application No.: 09/446,820 Filed:

Group No.:

Examiner:

For:

STABILIZED PRIMATE LENTIVIRUS ENVELOPE GLYCOPROTEINS

Box PCT Assistant Commissioner for Patents Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number:

EL565096735US

Date of Deposit:

September 29, 2000

I hereby state that the following attached papers and fees:

- 1. Copy of Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US);
- 2. Check in the amount of \$130.00;
- 3. Completion of Filing Requirements for International Application Entering National Stage in U.S. Designated Office (DO/US) Under 35 U.S.C. 371;
- 4. Check in the amount of \$1850.00;
- 5. Combined Declaration and Power of Attorney;
- 6. Added Page to Combined Declaration and Power of Attorney for Signature by Joint Inventors on Behalf of Non-Signing Inventors who Refuse to Sign or Cannot be Reached (37 CFR 1.47(a));
- 7. A Statement of Facts in Support of Filing on Behalf of Non-Signing Inventors (37 CFR 1.47(a)) (N. Valtz), including:

Exhibit A (letter dated January 10, 2000); Exhibit B (letter dated April 3, 2000); Exhibit C (letter dated August 28, 2000); Exhibit D (Receipt for Certified Mail);

8. A Statement of Facts in Support of Filing on Behalf of Non-Signing Inventors (37 CFR 1.47(a)) (N. Grodin), including:

Exhibit E (email dated August 11, 2000);

- 9. A Statement of Facts in Support of Filing on Behalf of Non-Signing Inventors (37 CFR 1.47(a)) (J. Sodroski);
- 10. Assignment Recordation Cover Sheet (PTO 1595);
- 11. Executed Assignment; and
- 12. Check in the amount of \$40.00.

(Express Mail Certificate—page 1 of 1)

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Nicole L. M. Valtz

Signature of person mailing paper or fee





Practitioner's Docket No. 48436

IN THE UNITED STATES DESIGNATED OFFICE (DO/US)

PCT/US98/24001	10 November 1998 (10.11.98)	10 November 1997 (10.11.97)
International Application Number	International Filing Date	International Earliest Priority Date

TITLE OF INVENTION: STABILIZED PRIMATE LENTIVIRUS ENVELOPE GLYCOPROTEINS

APPLICANT FOR DO/US: Dana-Farber Cancer Institute, Inc.; The Trustees of the Columbia University in the City of New York; SODROSKI, Joseph G.; WYATT, Richard T.; KWONG, Peter D.; HENDRICKSON, Wayne A.; and FARZAN, Michael

Box PCT
Assistant Commissioner for Patents
Washington, D.C. 20231
ATTENTION: DO/US

COMPLETION OF FILING REQUIREMENTS FOR INTERNATIONAL APPLICATION ENTERING NATIONAL STAGE IN U.S. DESIGNATED OFFICE (DO/US) UNDER 35 U.S.C. 371

CERTIFICATION UNDER 37 C.F.R. 1.10*

(Express Mail label number is mandatory.) (Express Mail certification is optional.)

I hereby certify that this Completion of Filing Requirements and the papers indicated as being transmitted therewith is being deposited with the United States Postal Service on this date September 29, in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL565096735US, addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Nicole L. M. Valtz

(type or print name of person mailing paper

Signature of person mailing paper

WARNING:

Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. § 1.8 cannot be used to

obtain a date of mailing or transmission for this correspondence.

*WARNING:

Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be

granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Completion of Filing Requirements for International Application Entering National Stage in Designated Office (DO/US) under 35 U.S.C. § 371-page 1 of 5)



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This replies to the Notice of Missing Requirements under 35 U.S.C. § 371 and 37 C.F.R. § 1.494 (FORM PCT/DO/EO/905).

A copy of FORM PCT/DO/EO/905 accompanies this response.

DECLARATION OR OATH

I. No original declaration or oath was filed. Enclosed is the original combined Declaration and Power of Attorney for this application, including an Added Page to Combined Declaration and Power of Attorney for Signature by Joint Inventors on Behalf of Nonsigning Inventors Who Refuse to Sign or Cannot be Reached (37 CFR 1.47(a)).

The undersigned hereby petitions the Commissioner to accept this Declaration by the joint inventors on behalf of the nonsigning inventors, and have set forth the pertinent facts in the accompanying Statements of Fact in Support of Filing on Behalf of Non-Signing Inventors.

Accordingly, the fee set forth in § 1.17(i) is included.

FEES

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1. Fees for claims

No additional fees for claims are required.

EXTENSION OF TIME

III. The proceedings herein are for a patent application. The provisions of 37 C.F.R. § 1.1 36(a) apply.

Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. § 1.17(a)(1)-(5), for five months.

Fee \$ 1,850.00

If an additional extension of time is required, please consider this a petition therefore.

Extension fee due with this request \$ 1,850.00

PAYMENT OF FEES

35 U.S.C. § 371--page 2 of 5)

(Completion of Filing Requirements for International Application Entering National Stage in Designated Office (DO/US) under

Enclosed is a check in the amount of \$1850.00 for the extension of time (37 C.F.R. § 1.1 36(a)), as well as a check in the amount of \$130.00 for the petition (§ 1.17(i)).

AUTHORIZATION TO CHARGE ADDITIONAL FEES

The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper, and during the entire pendency of this application, to Account No. 50-0850.

37 C.F.R. §§ 1.492(a)(2), 1.492(a)(3), or 1.492(a)(5) (filing fees)

37 C.F.R. § 1.492(b) (presentation of extra claims)

37 C.F.R. § 1.17 (application processing fees)

37 C.F.R. § 1.17(a)(1)-(5) (extension fees pursuant to § 1.136(a)).

SIGNATURE OF PRACTITIONER

Reg. No. 30,628

Tel. No.: (617) 345-6054

Ronald I. Eisenstein NIXON PEABODY LLP 101 Federal Street Boston, MA 02110

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